

126124-4

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Dixit, et al.	)
	) Group Art Unit:
Serial No.: NYA	)
	) Before the Examiner:
Filed: January 15, 2004	)
	)
For: METHOD FOR PREPARATION OF AN	)
ANTHRAQUINONE COLORANT	)
COMPOSITION	)

INFORMATION DISCLOSURE STATEMENT  
UNDER 37 CFR §§ 1.56, 1.97 AND 1.98

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

In compliance with the duty to disclose, applicants herein apprise the Patent Office of prior art in a parent U.S. application from which this application obtains the benefit of an earlier filing date under 35 U.S.C. § 120. The Serial Number of the parent application is 10/284,064 filed October 30, 2002 entitled METHOD FOR PREPARATION OF AN ANTHRAQUINONE COLORANT COMPOSITION. The publications cited therein are listed on attached Form PTO-1449.

In accordance with 37 CFR § 1.98 (d) copies of the listed publications are not required.

Consideration of this Information Disclosure Statement is respectfully requested.

Since this Information Disclosure Statement is being filed within three months of the filing date of the subject application, no fee or certification under 37 CFR § 1.97 (e) is required.

However, in the event the Commissioner of Patents deems that any fee is required under 37 CFR §§ 1.16 and 1.17 in connection with this application, authorization is hereby given to charge such fee to Deposit Account No. 07-0862.

Respectfully submitted,

CANTOR COLBURN LLP

By: 

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# INFORMATION DISCLOSURE CITATION

(Use several sheets if necessary)

ATTY DOCKET NO.  
126124-4

SERIAL NO.

Dixit, et al.

FILING  
January 15, 2004

GROUP

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	3,635,895	1/18/72	Kramer	260	47XA	
	3,697,395	10/10/72	Kehr et al.	204	159.14	
	3,697,402	10/10/72	Kehr et al.	204	159.14	
	3,880,869	4/29/75	Scheuermann et al.	260	296 P	11/1/73
	4,001,184	1/4/77	Scott	260	47 XA	3/31/75
	4,179,548	12/18/79	Schroeter et al.	525	329	9/14/77
	4,217,438	8/12/80	Brunelle et al.	528	202	12/15/78
	4,220,707	9/2/80	Ohmura et al.	430	325	4/24/78
	4,332,880	6/1/82	Izu et al.	430	272	8/4/80
	4,404,257	9/13/83	Olson	428	412	4/13/81
	4,491,508	1/1/85	Olson et al.	204	159.13	1/21/83

## FOREIGN PATENT DOCUMENTS

	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
						YES	NO
	0 455 585 A1	06.11.91	EPO	C08G	77/388		
	0 658 804 A2	21.06.95	EPO	G03C	1/795		
	0 658 804 A3	25.03.98	EPO	G03C	1/795		
	EP 0 831 473 A2	25.03.98	EPO	G11B	7/24		
	JP 5273691	1993-10-22	Japan				

## OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

	US Patent Application Publication No. 2003/0002431A1
	U.S. Patent Application Serial No. 10/391,401; Filed 03/18/03; Attorney Docket No. 120687-2; LIMITED PLAY DATA STORAGE MEDIA AND METHOD FOR LIMITING ACCESS TO DATA THEREON; 46 pages (GP1-0150)

EXAMINER	DATE CONSIDERED
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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*EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	4,523,208	6/11/85	Barzynski	346	214	11/22/83
	4,571,605	2/18/86	Motosugi et al.	346	216	11/9/84
	4,891,800	1/2/90	Sugaya	369	275	12/15/87
	5,368,988	11/29/94	Shinkai et al.	430	270	9/7/93
	5,530,083	6/25/96	Phelps et al.	528	25	7/21/94
	5,558,808	9/24/96	Smith et al.	508	556	6/7/95
	5,583,047	12/10/96	Blinka et al.	436	5	4/22/94
	5,620,839	4/15/97	Kawamoto et al.	430	523	12/16/94
	5,753,413	5/19/98	Nishida et al.	430	270.13	3/14/96
	5,815,484	9/29/98	Smith et al.	369	275.1	12/24/96
	5,882,358	3/16/99	Smith et al.	8	527	6/12/96

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						YES	NO
	JP 55030605	1980-03-04	Japan				
	JP 60093983	1985-05-25	Japan				
	Sho 60-213938	1985-10-26	Japan				
	WO 96/39693	12.12.96	International				
	WO 98/11539	19.03.98	International				

**OTHER DOCUMENTS** (Including Author, Title, Date, Pertinent Pages, Etc.)

	U.S. Patent Application Serial No. 10/384,986; Filed 03/10/03; Attorney Docket No. RD29498-2; METHOD FOR MAKING LIMITED PLAY DATA STORAGE MEDIA; 49 pages (GP1-0151)
	U.S. Patent Application Serial No. 10/619,643; Filed 07/15/03; Attorney Docket No. 134400-1; COLORED POLYMERIC RESIN COMPOSITION, ARTICLE MADE THEREFROM, AND METHOD FOR MAKING THE SAME; 44 pages (GP1-0126)

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	6,011,772	1/4/00	Rollhaus et al.	369	286	7/30/97
	6,117,284	9/12/00	Mueller	204	192.27	5/19/99
	6,136,347	10/24/00	Pollinger et al.	424	495	5/24/96
	US 6,168,844 B1	1/2/01	Takagishi et al.	428	64.1	8/3/98
	US 6,228,440 B1	5/8/01	Dailey et al.	428	1.1	7/28/98
	US 6,338,933 B1	1/15/02	Lawandy et al.	430	270.1	6/24/99
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	WO 98/41979 A	24.09.98	International				
	WO 02/29801 A1	11.04.02	International				
	WO 02/49010 A2	20.06.02	International				

**OTHER DOCUMENTS** (Including Author, Title, Date, Pertinent Pages, Etc.)

U.S. Patent Application Serial No. 10/619,642; Filed 07/15/03; Attorney Docket No. 128496-1; LIMITED PLAY OPTICAL STORAGE MEDIUM, METHOD FOR MAKING THE SAME; 69 pages (GP1-0121)

Japanese Abstract, Patent Number: JP60093983, 05/25/85, 5 pgs.

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		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO

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			Japanese Abstract, Patent Number: JP55030605, 03/04/80, 4 pgs.
			Japanese Abstract, Patent Number: 06-060422, 03/04/94, 7 pgs.

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**FOREIGN PATENT DOCUMENTS**

	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
						YES	NO

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		Japanese Abstract, Patent Number: JP5273691, 10/22/93, 5 pgs.
		FlexPlay Coating Recipe, Aprilis, Inc. and FlexPlay Technologies, Inc., Richard Minns, 03/29/00, 3 pgs.

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# INFORMATION DISCLOSURE CITATION

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Docket Number (Optional)

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Application Number

Applicant(s)

Dixit et al

Filing Date

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Group Art Unit

\*EXAMINER  
INITIAL

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ECMA, Standardizing Information and Communication Systems, Standard ECMA - 267, 3rd Edition - April 2001, 95 pgs.

wysiwyg://119/http://www.matweb....cMaterialPrint.asp?bassnum=C, MatWeb.com, The Online Materials Database Overview - Polycarbonate, Optical Grade, 3 pgs.

Encyclopedia of Polymer Science and Technology, Vol.1, Interscience Publishers, John Wiley & Sons, Inc., 1964, pp. 246 et seq.

Proceedings, Annual Technical Conference - Society of Vacuum Coaters 1998, Soc. Of Vacuum Coaters, Albuquerque, NM, USA, pp. 424-428

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